INSTRUCTIONS

The principal applicant responsible for overseeing the proposed research project (including any data requested) should complete this application. For ease of administration and implementation, applicants from outside AKU are encouraged to consider including at least one member of the KRHDSS Governance Committee or AKU faculty with previous engagement with the surveillance system as a collaborator in the study (e.g., co-investigator).

When requesting data for more than one study, please complete separate copies of this Research Engagement Request Application Form.

For requests other than for data summaries (i.e., those that involve extensive use of KRHDSS infrastructure and staff time), the applicant(s) is expected to contribute to the coverage of associated costs. These costs will vary depending on the nature of the proposed research activities and the support required. The KRHDSS secretariat will support the applicant(s) in generating these cost estimates. All research request application forms will be reviewed, and feedback will be provided by the governance committee within 14 – 21 working days. Please apply via email to nbi.krhdss-dph@aku.edu

1. Applicants Principal applicant Name: Position: Organisation: Email: Telephone: Address: Co-applicants: Name Role (e.g., PI, statistician) Institution 2. Project title: Planned start date: Planned end date:

Nature of engagement*

^{*} Specify the 'nature of engagement' by outlining whether you are interested in (i) data summaries; (ii) gaining access to de-identified individual level data for the purpose of re-analysis; (iii) embedding simple population screening research questions (no more than 20 items) into regular surveillance tool; (iv) establishing a sub-cohort within the main KRHDSS cohort; (v) using the database for sampling ONLY; (vi) Other (specify), e.g., record linkage between KRHDSS data and other datasets, use of the KRHDSS synthetic dataset etc.

3. Summary of sites to be involved: Mark an X in the relevant boxes below

	Target site for study
Kaloleni sub-county	
Rabai sub-county	

4.	Summary of anonymized / de-identified individual level data required: 1	Mark an X ir
	the relevant boxes below	

	No de-identified	individual	level data	required
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<u>Parameters</u>	Target	<u>Definition</u>	Information	<u>Data</u>
measured in	respondent		collected	required for
KRHDSS data				research
Orphanhood	All children <18 years	A target HH member whose one or both parents are deceased.	Yes/No	
Birth certification	All members of HH	A target HH member whose birth is registered (ascertained by availing of a birth certificate to the interviewer).	Yes/No	
Pregnancy	All women ≥12 years	A target HH member who is pregnant	Yes/No	
Birth	All newborns	A neonate/infant <6 months old born to a female member of a uniquely identified HH in the interval since the previous round.	Yes/No	
Death	All members of HH	A previously uniquely identified member of a HH who is reported to have died in the interval since the last round of data collection.	Yes/No	
In-migration	All new members	A previously unregistered persons that move into a previously uniquely identified HH or into a newly established HH within the surveillance area and plan to stay for at least 6 months.	Yes/No	
Out-migration	All members of HH	A previously uniquely identified persons that move out of a previously uniquely identified HH or outside the surveillance area and plan to stay away for at least 6 months.	Yes/No	

Schooling	All children 6- 18 years	A target HH member currently enrolled in a learning institution.	Yes/No
Ownership of MCH Booklet	Children <5 years	A target HH member issued with the Maternal and Child Health (MCH) booklet.	Yes/No
ANC4+ Attendance	All women who gave birth in the previous 11 months	A target HH member who attended at least 4 ante-natal care (ANC) clinics in the previous pregnancy.	Yes/No
Skilled Birth Attendance	All women who gave birth in the previous 6 months	A target HH member who gave birth either in a health facility or through the help of a skilled healthcare worker.	Yes/No
Exclusive breastfeeding	All children <6 months	A target HH member fed exclusively on breast milk.	Yes/No
Use of modern family planning	All women 15- 49 years	A target HH member using any of the modern methods of family planning.	Yes/No
Penta 1 vaccination	All children 6 weeks-11 months	A target HH member who has received Pentavalent 1 vaccination.	Yes/No
Penta 3 vaccination	All children 14 weeks-11 months	A target HH member who has received Pentavalent 3 vaccination.	Yes/No
Measles vaccination	All children 9- 18 months	A target HH member who has received Measles vaccination.	Yes/No
Vitamin A supplementation	All children 6- 59 months	A target HH member who has received Vitamin A supplementation in the previous 6 months.	Yes/No
Complementary feeding	All children 6- 23 months	A target HH member who has eaten food from all 3 main food groups in the last 24 hours.	Yes/No
Severe malnutrition	All children 6 – 59 months	A target HH member whose Mid-upper Arm Circumference (MUAC) measurement.	Yes/No
Moderate malnutrition	All children 6 – 59 months	A target HH member whose MUAC measurement >=11cm and <= 12.5 cm (range marked yellow on the MUAC tape).	Yes/No
Use of long-lasting insecticide treated nets (LLINs)	All members of HH	A target HH member who slept under a LLIN the night before the interview.	Yes/No

Knowledge of HIV status	All members of a HH>6 years	A target HH member who tested for HIV in the last 6 months.	Yes/No	
Access to safe water	All HHs	A target HH that source water for drinking or HH use from either piped water into dwelling, piped water to yard/ plot, public tap or standpipe, borehole, protected dug well, protected spring, bottled water, or harvested rainwater.	Yes/No	
Treatment of drinking water	All HHs	A target HH that treat drinking water by either boiling, adding bleach/chlorine, use a water filter (electrical, ceramic, sand, composite), or solar disinfection.	Yes/No	
Ownership of handwashing equipment	All HHs	A target HH that owns/has access to hand washing facilities that use running water, e.g., tippy-tap, leaky tin, piped water sink.	Yes/No	
Ownership of a functional latrine	All HHs	A target HH that owns/has access to the following types of sanitation facilities: flush to piped sewer system; flush to septic tank; flush/pour flush to pit; composting toilet; VIP latrine; pit latrine with(out) a slab with an added assessment of functionality (clear path to the toilet, not in a bushy isolated area, smell, offering privacy etc.).	Yes/No	
Ownership of a refuse disposal facility	All HHs	A target HH that has owns/shares the following types of sanitation facilities: waste disposal bin, pit, or disposes waste by burning.	Yes/No	
HH data	GPS coordinates of all households.	GPS coordinates for one of several adjacent houses/dwellings that accommodates a nuclear family under the same household head.	GPS coordinates	

*HH - Household

KRHDSS collects data from each household bi-annually. The surveillance has currently (as of December 2022) completed 12 rounds of data collection. Specify what round of data collection is needed.

Data collection round	Specific rounds, list:
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5. Is funding required to co	omplet	e the p	project? Yes No
Funding Status	Yes	No	Funder/Amount funded/Date
Applied for funding			
Planning to apply for funding			
Date expected to hear outcome of			
funding application			
Funded			
please attach the implement	ation pr	otocol.	
Outline the background of the st	u dy (ind	cluding	study assumptions)

Outline study aims
Anticipated impact of proposed research (including impact on the community)
Anticipated impact of proposed research (including impact on the community)

Outline procedures and methods to be used (Study design, study population, methods of recruitment, variables				
of interest, sample size, methods of data collection, data collection tools and procedures, double data elicitation				
and entry for validation and plan of data analysis).				

Outputs management and sharing (Consider the following questions: i) What outputs will your research
generate? ii) When will these outputs be made available? ii) Where will you make these outputs available? iv) How
will they be discovered and accessed by different population groups, including the community members, and other
interested parties, e.g., county, and national policymakers, researchers, health, and education professionals, etc.)

References	

If you are planning on conducting any additional data collection, please give an overview of the study, including where the study will be done, what data will be collected and how it relates to the KRHDSS dataset being requested / research activities proposed. Include details of other grants/sponsored projects that are ongoing and have overlap with your proposed research activities.					
7. Summary of target p	opulation of inter	rest			
Age:	☐ Any	☐ Specific ages, list:			
Gender:	☐ Any	☐ Female Only	☐ Male Only		
Pregnancy status:	□ N/A	Currently pregnant			
Postpartum status:	□ N/A	☐ Currently postpartum			
Site:	☐ Any	☐ Peri-urban*	Rural		
Disease / Health status:					

^{*3} out of the 10 Community Health Units (Buni, Vishakani and Mwele-Kisurutini) included in the surveillance system are considered peri urban as they are adjacent to urban areas or encompass parts of local rural towns within the sub-counties of interest.

Signature:			
_	-	n you should note that in the abse	
email of this proposal const	titutes your pe	ersonal certification that the details	s are correct.
Date:			
Name (on behalf of applica	nts):		
FOR INTERNAL USE ONLY	•		
	Date	Outcome	Comments
Received application form		Completed Yes No	
Discussed at KRHDSS		Declined	
Governance Committee		Declined but can resubmit	
Meeting		☐ Provisionally accepted☐ Accepted	
Re-submitted for review		☐ Yes ☐ No	
Re-discussed at KRHDSS	3	☐ Declined ☐ Declined ☐ Declined but can resubmit	
Governance Committee		Provisionally accepted	
Meeting		Accepted	
Project agreement		□ Vaa □ Na	
requested		☐ Yes ☐ No	
All contracts/agreements			
confirmed		☐ Yes ☐ No	
Data shared / Study confirmed		☐ Yes ☐ No	